NATURAL PERSON - CRS/FATCA Self-Certification

INSTRUCTIONS

This form (hereinafter referred to as "self-certification") is aimed at identifying, for CRS and FATCA purposes, natural persons. It should not be filled out by entities (i.e. legal person or legal arrangements such as trusts), which should complete a specific form called *Entity CRS/FATCA self-certification*.

Please note that the Financial Institutions with which you have entered into a business relationship are not allowed to give tax advice. Should you have questions about your tax residence, please contact your tax advisor and/or local tax authorities.

1. Natural person identification

First name(s): ……

Last name at birth: ………

Married name: ………

Legal residence address: Nr ………………………………………. Street:……………………………………………………………..

Post code:…………………………………… City :………………………………………… Country: ………………………………………..

City of birth: ………………………………………………………………………..Country of birth: …………………………………………

Date of birth (DD/MM/YYYY): ………

Nationality(ies): ……

………

………

2. Tax residence

Indicate ALL the individual's countries of tax residence and Tax Identification Number(s) (TIN)[1] (with the exception of TINs issued by countries that are not reporting jurisdictions on the day this form is completed).

Country of tax residence[2] :………

Taxpayer Identification Number(s) (TIN): ………………………………………………………………………………………………

If you do not have a TIN, select one of the options:

☐ this country does not issue TINs to its tax residents

☐ this country hasn't issued a TIN to me.*The reason is …………………………………………………………………………

Country of tax residence[2] :………..

Taxpayer Identification Number(s) (TIN): ………………………………………………………………………………………………

If you do not have a TIN, select one of the options:

☐ this country does not issue TINs to its tax residents

☐ this country hasn't issued a TIN to me.*The reason is …………………………………………………………………………

Country of tax residence[2] :...

Taxpayer Identification Number(s) (TIN): ....

If you do not have a TIN, select one of the options :

    this country does not issue TINs to its tax residents

    this country hasn't issued a TIN to me.*The reason is ....

* By signing this form, you undertake to promptly communicate the TIN, once (and if) received, no later than 30 days from its reception.

3. U.S. Status

      I am not a U.S. Person (i.e. neither a U.S. citizen, nor a U.S. resident individual)

      I **am** a U.S. Person (i.e. U.S. citizen and/or U.S. resident)[3]

Indicate the US TIN below**; you have to provide an IRS W-9 form too

**If the second box is ticked, indicate the US TIN (i.e. the U.S. social security number)

US TIN (i.e. SSN): ....

1. Information on taxpayers identification numbers are available on the OECD website: www.oecd.org
2. One country is mandatory; having a second tax residence is rare and applies notably (but not exclusively) to the case of a US citizen residing outside the US; holding a tax identification number in a country does not automatically imply that you are tax resident in that country.
3. Information on the definition of U.S. citizenship and U.S. residency is available on the IRS website:
    www.irs.gov/individuals/international-taxpayers/classification-of-taxpayers-for-us-tax-purposes

DATA PROTECTION PROVISION

Under Luxembourg laws and regulations and international agreements on the exchange of tax information, Financial Institution(s) with which you have a business relationship may be required to collect, process and disclose your personal data and information regarding your account(s) to the national tax authorities, which may provide such information to the country or countries where you are resident for tax purposes.

Failure to complete this form in full could mean that your financial Institution(s) will be unable to process your application.

Personal data collected in this form will be processed in compliance with Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons regarding the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC (the "GDPR").

The requestor of this form is/are the Financial Institution(s) with which you have a business relationship, acting as Data Controller within the meaning of the GDPR.

In this context, the AML/KYC service is outsourced to i-Hub S.A., acting as Data Processor within the meaning of the GDPR.

Further information can be found on i-Hub's website (Privacy Policy) and those of the respective Financial Institutions.

DECLARATIONS AND SIGNATURE

I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete. I understand that the completion of this self-certification is mandatory; absence of full disclosure of correct information might lead to incorrect reporting to the tax authorities. Should any information contained in this form become incorrect or incomplete, I undertake to inform the Financial Institutions with which I have entered into a business relationship promptly and provide an updated self-certification as soon as possible, and no later than 30 days from the relevant change in circumstances.

I hereby certify that I comply with all tax obligations to which I have been subject and am subject in my country of tax residence and elsewhere (if any), in relation to the present year and the past ones; I do undertake to comply with tax obligations in the future.

Name of signatory: ……..

Capacity of the signatory   Parent/Legal Guardian   Other (specify)……………………………………………………………………

(if the signatory is not the person referred to in §1)

Date *(DD/MM/YYYY)*…………………………………………………………………………….

Signature